

Confidential

LEC (FIIs)
[Paragraph 10B 4(ii)]

Sr. No. _____

**Statement showing company-wise details of total purchases and sales of equity shares/convertible debentures made by designated branch of
on _____ on behalf of Foreign Institutional Investors through stock exchanges in India and out of issues directly made**

Nam of the Compan y	Type of security	Purchases						Sales		
		On Stock Exchange			In Primary Market					
		No.	Face value per share/ debenture	Total purchase price	No.	Face value per share/ debenture	Total purchase price	No.	Face value per share/ debenture	Total purchase price
1	2	3	4	5	6	7	8	9	10	11
	Equity shares									
	PCDs/FCD s									
	Others (to be specified)									

We hereby certify that the above statement includes all the purchases/sales of equity shares and convertible debentures made on through stock exchange in India on behalf of Foreign Institutional Investors and equity shares/convertible debentures issued directly by the company to them. We also, certify that the investments by a single Foreign Institutional Investor has not exceeded 5% of the paid-up capital of the company.

Place:
Date

Signature
Name :
Designation
Name of the AD,
Code No.

Instructions:

- (i) This statement should be submitted by the designated branch to the Chief General Manager, Exchange Control Department, Reserve Bank of India, Central Office, Foreign Investment Division, Central Office Building, Mumbai - 400023, directly without routing through the Link Office of the Bank

- (ii) This statement should be serially numbered and must be submitted to the Reserve Bank on a daily basis and the transactions made on a particular day should be reported on the following working day
- (iii) If on purchases sales are made on any particular day, a nil statement need not be submitted to the Reserve Bank.
- (iv) All purchase and sale transactions for which a firm commitment has been made to acquire or transfer equity shares¹ convertible debentures in the form of the broker's contract notes issued by recognised stock exchange brokers should be included in this statement.
- (v) Designated bank branches should obtain the broker's contract notes/intimations of actual purchase sale transactions on the date of the transaction itself so that such purchases/sales could be included in the daily statement.
- (vi) Shares acquired on conversion of Partly Convertible Debentures (PCDs)/Fully Convertible Debentures (FCDs) should be reported in the appropriate column depending on whether the Convertible Debentures (CDs) were acquired on stock exchange or in primary market
- (vii) Name of company under Column I should be indicated in full.